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ABSTRACT

The study compared proposal and award data on investigators from predominantly undergraduate institutions (PUIS) with that of non-PUI institutions, called "research competitive universities" (RCUs). A total of 21,040 research proposals from academic institutions, which were competitively reviewed and decided in Fiscal Year 1988 by the National Science Foundation's (NSF) five disciplinary research directorates, were analyzed. Some proposals from PUIs were submitted directly to NSF standard disciplinary programs, while others were submitted via a special gateway called Research in Undergraduate Institutions (RUIs) and are noted as RUI proposals. The study was designed to see what similarities and differences existed among RUI, PUI-direct, and RCU proposals. Within these three groups, proposals were examined along institutional type and proposer characteristics (experience, race or ethnicity, and gender). Principal findings include: (1) 12% of the proposals were submitted by investigators from PUIs; 8% of all awards were made to PUI researchers, and they accounted for 5% of the funding awarded competitively; and (2) marly one-third of awards for PUI-direct proposals and one-quarter of awards to RUI proposals went to researchers who had not submitted any proposals during the preceding 5 years. Detailed tables providing analyses by NSF Directorate, and in a few cases, Division, are appended. Includes two references. (JDD)

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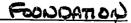
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A Report by NSF's Program Evaluation Staff



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FY 1988 Research Proposal and Award Activities by Predominantly Undergraduate Institutions

I. INTRODUCTION AND PURPOSE

This report summarizes an analysis of 21,040 research proposals from academic institutions that were competitively reviewed and decided in Fiscal Year (FY) 1988 by NSF's five disciplinary research directorates. (Directorate and divisional abbreviations are defined in Appendix A). All types of research proposals were included, i.e., requests for support for individual investigators, facilities, centers, and groups.

The purpose of the study was to compare proposal and award data on investigators from Predominantly Undergraduate Institutions (PUIs) with that of non-PUI institutions, called in this report "research competitive universities" (RCUs). NSF defines PUIs as higher education institutions that awarded a total of 20 or fewer doctorates in NSF-supported fields in the two years prior to the fiscal year of proposal submission. Such institutions include 2-year, bachelors, masters, and doctoral institutions that do not exceed the PhD production ceiling; in FY 1988, all institutions and portions of institutions except those listed in Appendix B were PUI-eligible. Higher education institutions may belong to either the PUI or RCU category. About one-eighth of research proposals decided upon in FY 1988 were from PUIs.

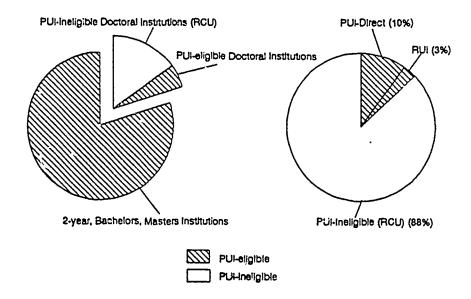
Proposals from PUIs can be submitted directly to NSF standard disciplinary programs, or go to the same programs via a special gateway called Research in Undergraduate Institutions (RUI) (See Appendix B for description). The RUI initiative was designed for research proposals from institutions that focus on undergraduate education but engage in research that often involves undergraduates. In this report, proposals from PUIs submitted directly to disciplinary programs are termed PUI-direct proposals. The study was designed to see what similarities and differences existed among RUI, PUI-direct, and RCU proposals.

Figure 1 illustrates that, while most of the academic institutions in the U.S. are PUI-eligible, the majority of proposals to NSF are submitted by researchers at RCU, or PUI-ineligible, institutions.



All Institutions

All FY 1988 Research Proposals



The overall picture of FY 1988 research proposal activity by these three groups was as follows:

Table 1 "Success Proposal Type Proposals 욯1 Awards Rate"2 PUI-eligible: RUI 559 38 168 38 30% PUI-direct 2,004 1C% 336 68 178 <u>PUI-ineligible</u> (RCU) 18,477 888 5,508 928 30% TOTAL 21,040 100% 6,012 100% 28%

Percentages do not equal 100% due to rounding.
 Calculated as awards/(awards + declinations).

Within these three groups, proposals were examined along two separate lines: institutional type, defined by the highest degree offered, and proposer characteristics. All comparisons are made in terms of distribution of awards and declinations within the three proposal groups described above. Accordingly, most of the analysis focuses on award shares and declination shares, which are expressions of proportion. Shares differ from success rates in that shares compare distributions of awards only, or declinations only. For example, if 38% of all awards were made to doctoral institutions, the award share for these institutions would be 38%. Shares of total research funding are also given. In FY 1988, the total funding for the 6,012 awards was \$849.1 million, of which PUI-eligible institutions received \$49.6 million. (See Note 1 at end of report).

II. SUMMARY OF PRINCIPAL FINDINGS

- A. By Institutional Type:
- o Of 21,040 research proposals, 12% (or 2,563) were submitted by investigators from PUIs; 8% of all awards were made to PUI researchers, and they accounted for 5% of the funding awarded competitively in that year.
- o As a group, 2-year, bachelors, and masters institutions submitted 49% of the PUI proposals and received 51% of awards and 48% of the research funding granted to PUIs.
- c While bachelors and masters institutions were more likely to have been supported through RUI, 2-year and doctoral institutions were more likely to have been supported directly by disciplinary programs.
- o Success rates for PUI proposals were much higher if submitted through RUI than if submitted directly (30% vs. 17%). The RUI success rate of 30% was equivalent to that for RCUs.
- o More than three-quarters of PUI proposals and two-thirds of the PUI awards were submitted directly to, and made by, disciplinary programs. All directorates except BBS awarded more total funding to PUI investigators via directly submitted proposals than through RUI proposals.
- o While the proportion of PUI proposals submitted by each type of institution varied, no one type was especially successful or unsuccessful in terms of proportions of awards and funding received relative to its proportion of proposals submitted.
- B. By Proposer's Experience:
- o Half of all FY 1988 research proposals were submitted by PIs who had submitted no, or only one, proposal to NSF during the previous five years; more than 50% of FY 1988 awardees from PUIs belonged to this category, whether they submitted through RUI or directly.
- o Nearly one-third of awards for directly-submitted PUI proposals and one-quarter of awards to RUI proposals went to researchers who had not submitted any proposals to NSF during the preceding five years. In contrast, nearly one-half of awards to research competitive universities went to investigators who had submitted two to five proposals.



- Among proposers who had submitted no or one proposal to NSF during the FY 1983-87 period, those submitting PUI-direct or RUI proposals in FY 1988 received a higher proportion of the FY 1988 awards than proposers in this group who submitted RCU proposals. Conversely, among proposers who had submitted two or more proposals during the same five year period, those submitting RCU proposals in FY 1988 received the highest share of the FY 1988 awards.
- C. By Proposer's Race or Ethnicity, and Gender:
- o In terms of relative distributions of awards and declinations, proposals from female, black, Hispanic, Native American, and Alaskan Native applicants generally received larger shares of awards than declinations. The reverse was generally true for proposals from male, white, and Asian and Pacific Islander proposers.
- O Award shares for proposals from women, blacks, and Hispanics were highest for proposals from PUIs submitted directly to disciplinary programs. The largest <u>numbers</u> of awards to these same proposer groups were to RCU.
- o Fifteen percent of proposals were from women, who overall received 11% of awards. The Directorates with the fewest proposals from women (ENG and CISE) made proportionally more awards to them, while the recipient of the greatest number of proposals from women (BBS) declined slightly higher percentages of women than it awarded.
- o Fifteen percent of proposers were non-white. They received 12% of the awards. Additionally: (1) a greater proportion of PUI-direct proposers were non-white; (2) among awardees, non-whites submitting PUI-direct proposals received proportionally more awards than non-whites submitting any other type of proposal; and (3) among declinees, non-whites who submitted PUI-direct proposals were declined at a proportionally lower rate than non-white proposers in other proposal groups.
- Women, blacks, Hispanics, and investigators with little or no proposal experience with NSF in the preceding five years who submitted PUI-direct proposals were proportionally more successful in receiving awards than colleagues in the same groups who had submitted RUI or RCU proposals.

D. Analysts' Comments:

The review of RUI proposals is handled by the same disciplinary programs that make decisions about the PUI-direct (and RCU) proposals. The principal factors that may account for the higher success rate of RUI proposals compared to those submitted directly by PUI institutions are:

- (1) reviewers are asked to consider the likelihood of heavier teaching loads and limited support personnel and equipment at RUI institutions in general, as well as the specific institutional effects of supporting the research, as described in a "RUI impact statement" prepared by the proposer; and,
- (2) program officers consider RUI proposals in light of RUI funding targets established annually by each directorate or division.

III. STUDY BACKGROUND AND ANALYTIC APPROACH

This study was undertaken to compare proposals from PUI-eligible and PUI-ineligible institutions in a number of different ways. One of the reasons for doing so was to examine a variety of contentions made by different segments of the research community about NSF award patterns. The report, however, is not intended to address any specific perception.

The proposal groups were analyzed along two main dimensions: how awards, declinations, and funding levels were distributed by institutional type; and how award and declination shares were distributed in terms of a variety of characteristics, including gender, race, and ethnicity, of the proposers. All variables were then examined across and within the five directorates. In one analysis, subdirectorate (i.e., division-level) data are used.

IV. RESULTS BY INSTITUTION GROUPING

The first series of analyses consisted of looking at FY 1988 proposals in terms of similarities and differences in proposal submission rates, award rates, and share of award funding across the three proposal groups. Within these groups, analysis focused on the distribution of proposals among 2-year, baccalaureate, masters, and doctoral institutions.

A. Proposals

While most FY 1988 research proposals were from RCUs, 12% were submitted by researchers at PUIs. Of these, more than three-quarters were submitted as standard program (PUI-direct) proposals (See Table 2). PUI-direct proposals outnumbered RUI proposals from 2.3:1 in the Mathematical and Physical Sciences (MPS) Directorate to 12:1 in ENG.



Table 2
Proposal Distribution by Directorate and Proposal Group

	BBS	CISE	ENG	GEO	MPS	All
RUI PUI-direct RCU	225 733 6,749	34 158 1,355	36 440 3,945	74 233 2,636	190 440 3,792	559 2,004 18,477
Total	7,707	1,547	4,421	2,943	4,422	21,040

Across the five directorates, the largest percentage of proposal decisions (36%) were made by the BBS directorate, and the smallest (8%) by CISE.

Independent of type of institution, proposals from PUIs were more frequently submitted directly rather than as RUI proposals. The degree to which this was true varied by institutional type. In descending order of percentage of RUI proposals, the breakdown was: bachelors (40%), 2-year (33%), masters (30%), and doctoral (13%). As a group, researchers from doctoral institutions submitted 85% of all proposals; the residual were submitted by 2-year, bachelors, and masters institutions. Seven percent of proposals from doctoral institutions were from PUIs.

B. Awards and Success Rates

PUI proposers received both direct and RUI awards, which together accounted for 9% of all awards (See Table 1, in Introduction). While two-thirds of all PUI awards were made for proposals submitted directly to disciplinary programs, a PUI proposer was more likely to receive an award as a result of submitting a RUI proposal. This was due to differences in success rates, which ranged from 17% for proposals submitted by PUI investigators directly to disciplinary programs to 30% for RUI proposals (and proposals from RCUs). Across proposal groups, awards to doctoral colleges and universities accounted for 88% of all awards. Five percent of these were submitted by PUIs.

C. <u>Distribution of Funds</u>

Five percent of funds associated with the proposals tracked in this study were awarded to PUI investigators. The PUI share of funds was: CISE, 2%; MPS and ENG, each 4%; GEO, 6%; and BBS, 9%. Among PUIs, 39% of all research funding resulted from RUI proposals. This proportion ranged from 19% in ENG to 54% in BBS. Across types of PUIs, doctoral institutions received 24% of their funding from RUI proposals, while 71% of bachelors institution funding came from RUI proposals. While funding for doctoral institution awards, regardless of proposal type, represented 96% of the grand total, PUI-eligible doctoral institutions received 2%



of the doctoral total. Table 3 shows funding levels for each institutional type by proposal group.

Table 3

Funding Distribution by Proposal Group and Institutional Type
In Thousands of Dollars

	2-year	Bach.	Mast.	Doct.	Other ¹	A11	Percent
RUI PUI-dir. RCU	\$40 . 180 0	\$2,203 909 30 ²	\$4,911 4,670 942	•	0	\$10,399 16,293 533,494	1.8% 2.9% 95.2%
Tota1	220	3,142	10,522	539,466	6,836	560,186	
% of Total	<1%	<1%	1.9%	96.3%	1.2%		
% of all PUI	<1%	11.6%	35.9%	51.6% (all PUI	= 26,692)
% of all RUI	<1%	21.2%	47.2%	31.2%			

¹ Principally graduate research institutions that do not award degrees (e.g., Woods Hole Oceanographic Institute).

Proposers from bachelors institutions submitted the greatest proportion of RUI proposals (40%) and received the highest percentage of their award funds from RUI proposals (71%). The pattern of higher funding share than award share for RUI proposals held to a lesser extent with masters and doctoral institutions.

Funding to 2-year, bachelors, and masters institutions combined represented 48% of all research funding to PUIs. This seems to have been due to masters institutions receiving more than 35% of PUI awards and funding.

D. Proposal, Award, and Resource Distribution Patterns

The distribution of proposal, award, and resource shares among PUIs differed by institutional type. As might be expected, the distribution of proposal shares by type of institution, from least to greatest, was: 2-year, bachelors, masters, and doctoral. The size of each type's proposal share was nearly identical to the corresponding percentage of awards and funding. Thus, no one



² Institutions in which: (1) the graduate enrollment exceeds the undergraduate enrollment, or (2) doctoral programs exist, but degrees are technically awarded by another institution.

institutional type did noticeably better or worse than any other type in terms of receiving awards or funding.

V. RESULTS BY CHARACTERISTICS OF PRINCIPAL INVESTIGATORS

The study also examined proposals reviewed in FY 1988 in terms of who submitted the proposals. Specifically, analysis focused on individual PI gender, race and ethnicity, and previous experience with NSF. Proposers are not required to submit either gender, race, or ethnicity data; however, gender data are 99.6% complete and race/ethnicity data are 98.3% complete. Previous experience was defined in terms of the number of proposals that each FY 1988 proposer had submitted during the FY 1983-87 period.

Slight differences exist between the data in this section and the preceding one, due to minor database clean-ups that occurred between creation of the three sets of computer reports that underpin this document.

A. Success Rates

Appendix C presents division-level data on the numbers of proposals, awards, declinations and success rates based on gender, while Appendix D presents the same numbers based on their race or ethnicity. These tables do highlight several things about groups of researchers that have not been heavily involved with NSF in the past:

- o While nearly all black, Hispanic, and Native American PIs at PUIs submitted PUI-direct proposals, rather than RUI proposals, the majority of proposers in these groups submitted RCU proposals.
- O Success rates for black and Hispanic investigators at RCUs were higher overall than corresponding rates for PUI-direct proposals.
- O While more proposals were submitted by male PIs than female PIs, regardless of race or ethnicity, a higher proportion of proposals from black researchers were submitted by women as compared with the proportion of proposals from Hispanics submitted by women.
- o Success rates for RCU proposals from black and Hispanic women were higher than those for RCU proposals from men. The same pattern held for black women and men who submitted PUI-direct proposals. The number of RUI proposals from minorities was too small for success rate calculation.

BBS, ENG, and MPS reviewed the most proposals from black, Hispanic, and Native American proposers, with the numbers being fairly evenly distributed among the three directorates. For PUI-







direct proposals, ENG received the most proposals, while MPS the least, while, among RCU proposals, the order was BBS, ENG, and MPS.

PUI-direct and RCU proposals from black, Hispanic, and Native American proposers to MPS collectively received the most awards and had the highest success rates. Within directorates, proposing patterns of men and women in these racial and ethnic groups differed markedly; the small numbers of proposals in these subgroups did not provide sufficient data for analyzing success rates.

B. Gender

Regardless of directorate or proposal group, approximately 85% of proposals on which decisions were made in FY 1988 were submitted by males. Across the directorates, the proportion of awards to males ranged from 82% for the PUI-direct group to 89% for proposals from RCUs. For declinations, the range was 84% for PUI-direct to 89% for RUI. Without exception, the proposal group with the largest directorate percentages of awards to women was PUI-direct. (See Appendices E and F for complete gender data.)

BBS received the greatest proportion of proposals submitted by women, while ENG received the fewest. However, while it is not surprising that ENG awarded the highest percentage of male proposers and BBS the least, ENG and CISE made proportionally more awards to females relative to the number of proposals that were submitted by females than did BBS. BBS declined slightly higher percentages of proposals from women than it awarded.

Among proposal groups, award and declination shares for proposals from women did not differ markedly: for RUI, 14% compared with 11%; for PUI-direct, 18% compared with 15%; and for RCU, 11% to 13%. While these percentages are similar, they do not reflect the significant differences in <u>numbers</u> of proposals in each proposal group that were discussed previously. Thus, the number of RCU awards to women was more than 25 times that of the number of RUI awards to female researchers. Similarly, the number of PUI-direct awards to women was nearly three times that of RUI awards to women.

C. Race and Ethnicity

Overall, more than 85% of proposals upon which a funding decision was made in FY 1988 were submitted by white researchers. Across the directorates, award and declination shares to whites by proposal group were: RUI, 90% and 89%; PUI-direct, 82% and 84%; and RCU, 88% and 87%.



Across proposal groups, the PUI-direct group received the largest proportion of proposals from non-white researchers. Among awardees, non-white proposers received proportionally more awards than non-white proposers who submitted other types of proposals, while, among declinees, non-whites who submitted PUI-direct proposals were declined at a proportionally lower rate than non-white proposers submitting RCU or RUI proposals. (See Appendices E and F for complete race and ethnicity data.)

Proposals from Asian and Pacific Islander researchers accounted for 5.3% of the five directorate total. While these PIs were included in the non-white calculations, they are not considered by NSF as a minority group because they are not underrepresented in science and engineering. Award and declination share distributions for Asian and Pacific Islander PIs differed from those of the other non-white groups in that declination shares were greater than award shares, regardless of proposal type.

Proposals submitted by both black and Hispanic PIs were proportionally, but not numerically, highest in the PUI-direct group. The <u>number</u> of black awardees in the RCU group exceeded that of the PUI-direct group by more than a factor of three, while for Hispanics the difference was more than a factor of 5.

D. <u>Previous Proposal Submissions</u>

Another way to describe proposers is in terms of the level of previous experience that they have had with NSF proposal submission. To examine this, FY 1988 proposers were categorized according to the number of proposals that they had submitted to NSF during the FY 1983-87 period. (See Appendices G and H for complete previous submission data.) For this analysis it was not possible to determine whether those who had submitted few proposals to NSF previously were new to sponsored research, returning after a career interruption, or veteran researchers who usually obtained funding from non-NSF sources.

1. Experience Distribution by Proposal Type:

Fifty percent of all FY 1988 proposals we'e submitted by PIs who had submitted no or one proposal to NSF during the preceding five-year period; 40% of these FY 1988 proposals received awards. Table 4 shows that RUI and PUI-direct proposals from PIs who had submitted no or one proposal to NSF during the same period received 54% of awards in those proposal groups, compared with 38% of the award share among RCU proposals. Regardless of proposal group, declination shares for these PIs were proportionally higher: 62% for RUI; 69% for PUI-direct; and 52% for RCU.



Table 4

Award and Declination Shares
by Proposal Group and Prior Proposal Submissions¹

Prior Exp:	0-1	(50%)	2-5	(40%)	<u>6-10</u>	(88)	10+	(2%)
1988 Prop:	Awd	Dec	Awd	Dec	Awd	Dec	Awd	Dec
	- 40	600	400	0.00	40	00	0.0	00
RUI	54%	62%	43%	308	48	2୫	0%	0%
PUI-direct	54%	69%	39%	27%	5%	48	2%	18
RCU	38%	52%	47%	38%	12%	88	3%	28
1 Parcentag	es do	not	total d	ue to	roundi	ng.		

By comparison, PIs who had submitted two to five proposals were awarded at lower rates in the RUI and PUI-direct groups, but higher in the case of RCU. This same group of PIs accounted for a smaller proportion of the declinations than their less experienced colleagues, regardless of proposal group.

A small but notable proportion of proposers had submitted six or more proposals previously. They predominated in the RCU group. Regardless of the type of proposal they submitted for the FY 1988 review, the more experienced -- or at least more persistent -- proposers were more likely to have been awarded than declined.

These findings relating to the distribution of proposals from proposers with different levels of experience with NSF are consistent with analysis of data collected for an earlier study, Proposal Review at NSF: Perceptions of Principal Investigators (see Note 3). That study surveyed all FY 1985 applicants who submitted individual-investigator proposals.

2. FY 1988 Award and Declination Patterns:

Across the five directorates, award shares to FY 1988 proposers who had not submitted any proposals during the preceding five years were smaller than corresponding declination shares: RUI ranged from 25% to 39%; PUI-direct - 31% to 47%; and RCU - 18% to 32%. The PUI-direct percentages for those submitting no or one proposal in recent years illustrate that proposers from yet another group that has not dealt extensively with NSF received proportionally the greatest share of awards as a result of submitting PUI-direct proposals.

RUI and PUI-direct proposals submitted by proposers who had applied for NSF funding once during the FY 1983-87 period were generally more likely to be awarded than declined; RCU award and declination shares were equal. While overall award and declination shares for RUI proposals were 29% and 22%, respectively, award shares varied within directorates by as much as a factor of two. Directorates were not consistent across proposal groups regarding likelihood of making awards to this



group of proposers. Among PUI-direct and RCU proposals from this group of proposers, MPS made the largest proportion of awards, while among RUI proposals, the same directorate ranked last in proportion of awards to these researchers.

Across proposal groups, those who had previously submitted two to five proposals to NSF received the largest award shares when compared with those who had not submitted a previous proposal and with those who had submitted one. Within proposal groups, award shares for those who had submitted two to five proposals were larger than declination shares. For RUI, PUI-direct, and RCU, award and declination shares were distributed as follows: 43% to 36%; 39% to 27%; and 47% to 38%.

As with investigators who submitted two to five proposals, researchers who had submitted six or more proposals received proportionally more awards than declinations. Award shares for proposers who belonged to the latter group were 4% for RUI, 7% for PUI-direct, and 15% for RCU. Declination shares were somewhat less: RUI, 2%; PUI-direct, 5%; and RCU, 10%, respectively. Looking across experience groups and proposal groups, the more experienced proposers (i.e., those who had submitted two or more proposals during the FY 1983-87 period) and submitted an RCU proposal in FY 1988 received the largest award shares. Conversely, less experienced proposers received the greatest award shares if their 1988 proposal had been submitted as a PUI-direct proposal.

Detailed tables providing analyses by NSF Directorate, and in a few cases, Division, are appended. For any given division-level table, divisions not listed received no proposals in that particular group. In some tables, small discrepancies between total number of proposals received and total number of awards plus declinations are due to proposals that were: (1) submitted in FY 1988 but reviewed in FY 1989; (2) withdrawn from consideration; or (3) judged to be inappropriate before review because they did not meet program guidelines.

Notes and References:

- 1. We also considered balculating average annual award size. While in certain circumstances this can be done effectively, it is not appropriate to do so in this study because the population includes a broad range of proposal types (e.g., individual investigator, facilities, and large research center proposals) and variable lengths of awards (i.e., from one to several years).
- 2. Requests for technical information or comments on this report may be directed to Linda Parker, NSF Program Evaluation Staff, Room 425, 1800 G St., N.W., Washington, DC 20550.
- 3. A description of NSF's proposal review system, the results of a survey of 9,500 applicants' perceptions of it, and factors in award success are presented in NSF 88-4, "Proposal Review at NSF: Perceptions of Principal Investigators," available from NSF's Forms and Publications Unit.
- 4. A summary of the PI Survey mentioned in note 3, with additional commentaries, may be found in the Winter 1989 issue (Vol. 14, Nr. 1) of Science, Technology and Human Values.

The design and overall management of this project were conducted by Lola Rogers of NSF's Division of Research Initiation and Improvement, and Linda Parker of NSF's Program Evaluation Staff (PES). Jim Slaugh of NSF's Office of Information Systems provided invaluable assistance in producing the computer reports upon which the analysis was done. Kristi Merritt and Bob Pickard, George Washington University graduate students, contributed to the study design. Susan Queen of PES performed the special analysis of the PI Survey data that were described in this report. Appendices were prepared by George Pittmon. Interpretation of findings and preparation of the report were done by Linda Parker, under the direction of Jim McCullough, PES Director.



Appendix A

BBS	Biologica	al, Behavioral and Social Sciences
	BNS	Behavioral and Neural Sciences
	BSR	Biotic Systems and Resources
	DCB	Division of Cellular Biosciences
	DIR	Division of Instrumentation Resources
	DMB	Division of Molecular Biosciences
	SES	Social and Economic Science
CISE	Computer	and Information Science and Engineering
	ASC	Advanced Scientific Computing
	CCR	Computer and Computation Research
	CDA	Cross-Disciplinary Activities
	IRI	Information, Robotics and Intelligent Systems
	MIP	Microelectronic Information Processing Systems
	NCRI	Networking and Communications Research and Infrastructure
ENG	Engineeri	.ng
	CBTE	Chemical, Biochemical, and Thermal Engineering
	CDR	Cross-Disciplinary Research
	CES	Critical Engineering Systems
	DMCE	Design, Manufacturing, and Computer- Integrated Engineering
	ECSE	Electrical, Communications, and Systems Engineering
	EET	Emerging Engineering Technologies
	MSME	Mechanics, Structures, and Materials Engineering



GEO Geosciences

ATM Atmospheric Sciences

DPP Division of Polar Programs

EAR Earth Sciences

OCE Ocean Sciences

MPS Mathematical and Physical Sciences

AST Astronomical Sciences

CHE Chemistry

DMR Division of Materials Research

DMS Division of Mathematical Sciences

PHY Physics

Appendix B

NSF Support for Predominantly Undergraduate Institutions and FY 1988 List of PUI-ineligible Institutions

Predominantly undergraduate institutions are defined in terms of the nature of the institution, not solely on the basis of highest degree offered. Included are 2-year, bachelors, masters institutions, and those doctoral institutions that did not award a total of more than 20 doctoral degrees in fields supported by NSF during the preceding two academic years. Autonomous campuses in a system are considered to be independent institutions for this purpose.

The list of some 500 institutions not considered eligible for PUI designation is set forth in this Appendix. The list includes RCUs, certain campuses of a multi-campus system, and certain schools within an institution (such as medical schools).

All NSF disciplinary research programs provide support for faculty at Predominately Undergraduate Institutions in three ways:

- 1. Research and instrumentation proposals submitted directly to the regular NSF disciplinary programs;
- 2. Research and instrumentation proposals submitted to the same program but through an alternative "gateway" entitled Research in Undergraduate Institutions (RUI). Only faculty in non-doctoral departments within PUIs can submit through RUI; and
- 3. Research Opportunity Awards (ROAs), which are supplements that allow faculty at PUIs to participate in research activities with NSF-support investigators at research universities, or in other well-equipped laboratories. They differ from the previous types of support because: (1) beneficiaries do not submit complete NSF proposals; and (2) while ROA beneficiaries are from PUIs, the awards are made to the host institution.

Only proposals in categories 1 and 2 are counted as PUI proposals in this study.

RUI proposals are processed by disciplinary program officers, who also handle all proposals submitted directly to their respective program area. Reviewers of RUI proposals are notified that: (1) although scinntific merit is paramount, they should take into account the likelihood of heavy teaching loads and limited support personnel and equipment at proposing institutions; and (2) they are to use the RUI "Impact Statement" when considering NSF review criterion four, which pertains to the effect of the research on the science and engineering inFrastructure.



Funding for proposals from PUIs (i.e., RUI proposals and regular disciplinary program proposals) comes from disciplinary program budgets; no separate monies are allocated. Funding targets are established annually for Foundation-wide support for PUIs, as well as for the RUI component. Support for PUIs has increased annually since the Foundation began tracking research awards to PUIs, expanding from \$29 million in FY 1983 to nearly \$55 million in FY 1988. Foundation-wide and directorate targets have been exceeded every year since FY 1984. Similarly, the RUI activity has grown from 141 awards totalling \$6.6 million in FY 1984 to 290 new and continuing awards totalling \$15.9 million in FY 1988.

NSF encourages the PUI community to submit proposals, and strongly encourages program staff to make outreach visits to a number of undergraduate campuses each year to discuss NSF funding opportunities.



Appendix B Cont. FY 1988 PUI-Ineligible Institutions

Adelphi Univ	NY		
Air Force Inst of Tech	OH	Concordia Theol Sem	IN
Albany Medical Col	=	Cornell Univ - Endowed	NY
Amer Univ Spec Oper Res	NY	Cornell Univ - State	NY
American University	DC	Cornell Univ Medical Cti	NY
Applied Physics Lab (JHU)	DC	Creighton Univ Sch of Med	NE
Arizona State University	MD	CUNY Grad Sch & Univ Ctr	NY
Auburn Univ	AZ	CUNY John Jay College	NY
Auburn Univ Central Off	AL	CUNY Research Foundation	NY
Auburn Univ-Agr Exp Sta	AL	CUNY System Office	NY
Baylor Col of Medicine	AL	Dartmouth Col M.J Sch	NH
Baylon II Baylon II Mad of	TX	Dartmouth Col Thayer Eng	NH
Baylor U Baylor U Med Ctr	TX	Dartmouth Collega	NH
Bethel C S Bethel Theolog Bethel Col & Sem Cent Off	MN	Dominican House Studies	DC
Bester Col & Sem Cent Off	MN	Drexel University	PA
Boston College	AM	Duke Univ	NC
Boston Univ Sch of Med	MA	Duke Univ-Marine Lab	NC
Boston University	MA	Duke Univ-Sch of Medicine	NC
Bowling Grn S U Main Cam	ОН	Eastern Baptist Theol Sem	PA
Brande's University	MA	Emory Univ Sch of Med	GA
Brigham Young Univ	UT	Emory Univ Yerkes Reg Pri	GA
Brown University	RI	Emory University	GA
Bryn Mawr Col	PA	Fielding Institute	CA
C W&M VA Inst Marine Sci	VA	Florida Institute of Tech	FL
Cal Sch of Prof Psy S D	CA	Florida State University	FL
Cal Sch Prof Psy Berkeley	CA	Fordham U Novitiate	NY
Cal Sch Prof Psy L A	CA	Fordham University	NY
California Inst of Tech	CA	Fuller Theol Sem	CA
Caribbean Ctr Adv Studies	RQ	Ga Inst Tech Central Off	GA
Carnegie Mellon Univ	PΑ	Ga Tech Research Corp	GA
Carnegie Mellon-Inst Tech	PA	Ga Tech Research Corp	GA
Carnegie-Mel Univ-Ins Res	PA	Garrett-Evangel Theo Sem	ΙL
Case W R Univ Sch of Med	ОН	George Wash Univ Med Ctr	DC
Case Westrn Res Case Inst	он	George Washington Univ	DC
Catholic U of America	DC	Georgetown Univ Sch Med	DC
Claremont Graduate School	CA	Georgetown University	DC
Clark Atlanta Univ	GA	Graduate Theol Union	
Clark University	MA	Hahnemann Med Col & Hosp	CA
Clarkson University	NY	•	PA
Clemson Univ	SC	Hartford Sem Fdn	CT
Clemson Univ Agr Exp Sta	SC	Harvard Ctr Hellenic Stud Harvard Medical School	MA MA
Col of Pharmaceutical Sci	NY		
Colo St Univ Agr Exp Sta	CO	Harvard U Harvard Forest	MA
Colorado School of Mines	CO	Harvard Univ Grad, Sch B A	MA
Colorado State University	CO	Harvard Univ Herbarium	AM
Columbia U Col Phys Sur	NY	Harvard Univ Observatory	MA
Columbia U Hudson Lab		Harvard Univ Peabody Mus	MA
Columbia U Lamont Geo Obs	NY	Harvard Univ Sch Pub Hith	MA
Columbia U Press	NY	Harvard University	MA
Columbia U Sch Soc Work	NY	Howard Univ Col of Med	DC
	NY	Howard University	DC
Columbia Univ Sys Off	MA	Ill Inst of Tech Gas Tech	IL
Columbia University	NY	Illinois Inst of Tech	IL



Ind U Purdue U Indnpls Ind U-Pur U-Indpls S Med Indiana State University Indiana Univ Fdn Indiana University Inst of Paper Chemistry Inst For Advanced Study Iowa St U Science & Tech Iowa St Univ Agr Exp Sta Jewish Theol Sem of Amer Johns Hop V Ches Bay Inst Johns Hop U Hyg&Publ Hith Johns Hop U Sch Adv Stds Johns Hop U-Sch of Med Kans St Univ Agr Exp Sta Kansas State University Kent St Univ Kent State University Fdn Kentucky Research Fdn La St U Med Ctr Shrevept La St Univ Agr Exp Sta La St Univ Baton Rouge La St Univ Medical Center Lehigh Univ Lexington Theol Sem Loma Linda Univ-Sch Med Louisiana State U Sys Off Loyola U Bellarmine Sch Loyola Univ of Chicago Loyola Univ Sch of Med M S U Ms Frst Prod Ut Lab Maharishi C Nat Law (DC) Maricopa Cnty C C Sys Off Mass Col of Pharmacy Mass Inst of Tech (MIT) Med Univ of S Carolina Medical Col of Georgia Medical College of Pa Medical College of Wisc Meharry Medical Col Methodist Theol Sch Ohio Miami Univ Central Office Miami Univ Oxford Campus Mich St Univ Agr Exp Sta Michigan State University Mississippi State Univ Mont St Univ Agr Exp Sta Montana State University MS Agric & Frsty Exp Jtat N C St Univ at Raleigh N C St Univ Agr Exp Sta

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N D St U Central Office N M St Univ Agr Exp Sta N Y Univ Medical Center National Graduate Univ Naval Postgraduate School New England Inst New Jersey Dental School New Jersey Medical School New Mexico St University New School For Social Res New York Medical Col New York Theological Sem New York University Northeastern University Northwestern University Northwatrn U Medical Sch Nova Univ Ocean Sci Ctr Nova University NE Ohio Univs Col of Med Ohio St Univ Ohio St Univ Col of Med Ohio St Univ Res Fdn Ohio St Univ Res Fdn Ohio St Univ Stone Lab Ohio Univ Okla St Univ Agr Exp Sta Oklahoma State Univ Oreg Grad Ins of Sci & T Oreg Hith Scis Univ Oregon St U Agr Exp Sta Oregon St U Marine Sci Oregon St Univ Pa St U MS Hershey Med Ct Pa St Univ Agr Exp Sta Pa State Col Sys Off Polytec Inst NY Grad Ctr Polytechnic University Ponce School of Medicine Portland State University Princeton Theol Sem Princeton University Purdue Research Fdn Purdue Univ Agr Exp Sta Purdue University Rand Grad Inst of Pol Sd Rensselaer Polytech Inst Robert Wood Johnson Med Rockefeller Univ Rush University Rutgers U Douglass Coll Rutgers U Livingston Col

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St John's University	NY
St Louis Univ-Inst Tech	MO
St Louis Univ-Sch of Med	MO
St Louis University	MO
Stanford Univ Hopkins Sta	CA
Stanford Univ Press Stanf	
Stanford Univ Press Stanf	CA
Stanford Univ Sch of Hed	CA
Stanford University	CA
Stevens Inst of Tech	NJ
Syrac ise University	NY
SUNY at Albany	
	NY
SUNY at Binghamton	NY
SUNY at Buffalo	NY
SUNY at Stony Brook	NY
SUNY Bingmin Sch Adv Tech	NY
SUNY Buffalo Hith Sci Ctr	NY
SUNY Col Env Sci&Forestry	
SUNT COT ENV SCIAFORESTRY	NY
SUNY Health Chtr Brooklyn	NY
SUNY Health Cntr Brooklyn SUNY Hith Sc Ctr Syracuse	NY
SUNY Hith Sci Ctr Stny Bk	NY
SUS-FL Inst Oceanography	FL
Teachers College	
	NY
Temple Univ	PA
Temple Univ Sch of Med	PA
Texas A3M Research Fdn	TX
Texas A&M U Agr Exp Sta	TX
Texas A&M U Agr Ext Ser	TX
Texas A&M U Eng Exp Sta	TX
Texas 48M U Main Campus	TX
Texas ASM U Marine Lab	
Texas Abn O Marine Lab	TX
Texas Christian Univ	TX
Texas Tec U Hith Sci Ctr	TX
Texas Tech University	TX
Texas Woman's Univ	TX
The Res Edn of TUNY	NY
Thom Jefferson U Med Col	PA
Thomas Jefferson Univ	PA
Troy St Univ Cent Office	AL
Tufts U-Jackson Col Women	MA
Tufts Univ Fletcher Law	MA
Tufts Univ Sch of Dent	MA
Tufts Univ Sch of Med	MA
Tufts University	MA
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Tulane Univ Delta Reg Pri	LA
Tulane Unity School of Med	LA
Tulane University	LA
U of Akron	OH
U of Ala at Birmingham	AL
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U of Ala-Birm Med Col Ala	AL
U of Ala-Tus Gadsden Otr	AL
U of Ariz Agr Exp Sta	ΛZ
U of Ariz Col of Med	AZ
U of Ca Bodega Marine Lab	CA
U of Cal Agr Exp Sta	CA
U of Cal Air Poll Res Ctr	CA
U of Cal Berk Agr Exp Sta	CA
U of Cal Biomed Project	CA
U of Cal Cal Col of Med	CA
U of Cal Dry Larids Res	CA
U of Cal Irvine	CA
U of Cal LA Agr Exp Sta	CA
U of Cal LA Biomed Proj	CA
U Of Cal Navy Biol Lab	CA
U of Cal Phil Boyd R Ctr	CA
U of Cal Richmont Fld Sta	CA
U of Cal Riv Agr Exp Sta	CA
U of Cal San Francisco	CA
U of Cal Santa Barbara	CA
U of Ca' Santa Cruz	CA
U of Cal Sch of Med	CA
U of Cal Svs Off	CA
U of Cal SC Cowell Col	CA
U of Cal SC Lick Observa	CA
U of Cal SC Merrill Col	CA
U of Cal SC Stavenson Col	CA
U of Cal SD Revelle Col	CA
U of Cal SD Scripps Inst	CA
U of Cal SD-Sch of Mad	CA
U of Cal SE Sch of Med	CA
U of Chgo-Sch of Med	Ϋ́L
U of Cincinnati Col Med	OH
U of Conn Marine Res Lab	CT
U Of Conn Marine Set thet	CT
U of Dayton Lady of Carey	OH
U of Del Agr Exp Sta	DE
U of Denver pes Inst	CO
U of Fla Agr Exp Sta	
U of Fla Citrus Exp Sta	FL FL
U of Fla Eng&Ind Exp Sta	
U of Fla Fla St Museum	FL FL
U of Ga Agr Exp Sta	
U of Ga Sav Riv Ecol Lab	GA
U of Hawaii Agr Exp Sta	GA
U of Hawaii Col Trop Agr	HI
U of Hawaii Sch of Med	HI
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U Of Idaho Anr Exper c+=	IL ID
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U of Kansas Main Campus U of Louisville Res Fdn KY U of Louisville Sch Med KY U of Mass Agr Exp Sta МΔ MΔ U of Mass Cranberry Exp S MO U of Md Agr Exp Sta U of Md Chesapeake Biol MO ME U of Me Agr Exp Sta U of Me Col of Tech ME U of Miami Sch Mar&Atmos FL U of Mich Medical School MI U of Minn Agr Exp Sta MN U of Minn Hormel Inst MN U of Minn Inst of Tech MN U of Minn Med Sch MN U of Mn Mayo Grad Sch Med MN U of Mo Agr Exp Sta MO U of Mo Sch of Med MO NC U of N C at Chapel Hill U of N C Inst Marine Sci U of N C School Medicine NC NC NΩ U of N O Sch of Med U of N H Agr Exp Sta U of N H Col of Tech NH NH NM U of N M Sch of Med U of Neb Agr Exp Sta NE U of Neb Med Ctr at Omaha NF U of New Hampshire NH U of Notre Dame IN U of Pa Sch of Vet Med PΔ U o'r Rochester Sch Med NY U of Southern Cal Sch Med CA U of Tenn Ctr Health Scs TN TN U of Tenn-Inst of Agrictl U of Texas at Arlington TX U of Texas at Dallas U of Texas Austin Campus ΤX TX U of Utah LIT U of Utah Col of Medicine UT U of Va Sch of Medicine VΔ WA U of Wash-Press W۸ U of Wash-Sch of Med U of Wisc Army Math Ctr WI U of Wisc Madison Agr Sta U of Wisc Madison Med Sch WI U of Wisc Sys Off WI U Ark Fayett-Agr Exp Stat AR U Arkansas Fayetteville ΔR U Arkansas Med Scis Cam AR U C Santa Cruz Crown Col CA

U Chicago Argonne Hosp

TΧ U Houston-U Park Campus U Ill Med Ctr Peoria ΙL U Ill Med Ctr Rockford IL U Kansas Ctr Research Inc KS MN U Mn Gray Freshwater Bio U Okla Norman-Bio Sta ΩR U Oregon Oental School OR U Oregon Oregon Hith Sci SC U S C Inst Arch & Anthrop U S International Univ CA U Tex Hith Sc Ctr Oallas ΤX MO Unif Servs U of Hith Scis MN United Theological Sem Univ of Akron Central Off OH ΔI Univ of Alabama Sys Off Univ of Ark Central Off AR CA Univ of Cal Berkeley Univ of Cal Los Angeles Univ of Cal Riverside CA CA CA Univ of Cal-San Diego CA Univ of California Davis Univ of Chicago Press IL ΩH Univ of Cin Main Campus CO Univ of Colo Hith Sci Ctr CO Univ of Colo Sys Office CT Univ of Conn Agr Exp Sta Univ of Conn Health Ctr СТ Univ of Fla Col of Med ۶L Univ of Ga Ga Exp Station GA GΔ Univ of Ga Marine Inst Univ of Ga Res Fdn Inc GΔ Univ of Hawaii Manoa HI IL Univ of Ill Chicago Univ of Ill Chicago Cir IL Univ of Illinois Urbana IL IA Univ of Iowa Univ of Kans Cent Office KS Univ of Kansas Med Center KS KY Univ of Kentucky Univ of Ky Agr Exp Sta Univ of Ky Medical Ctr KY KY KY Univ of Ky System Office KY Univ of Louisville MA Univ of Mass Amherst МΔ Univ of Mass Systems Off Univ of Md at Balt Univ of Md College Park MO MO Univ of Med & Dent of N J NJ FL Univ of Miami Sch of Med Univ of Mich Ann Arbor M T Univ of Mich Central Off MI



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Univ of Missouri Columbia	MO
Univ of Missouri Rolla	MO
Univ of N H System Office	NH
Univ of Neb System Office	NE
Univ of Nebraska Lincoln	NE
Univ of North Texas	TX
Univ of Ok Health Sci Ctr	OK
Univ of Oklahoma	OK
Univ of Oklahoma Res Inst	OK
Univ of Oregon Eugene	OR
Univ of Oregon Gen Ext	OR
Univ of Pa Sch of Med	PA
Univ of Pa Wharton Sch	PA
Univ of Pennsylvania	PA -
Univ of Pitt Sch of Med	PA
Univ of Pittsburgh	PA
Univ of PR Med Sci Campus	RO
Univ of R I Agr Exp Sta	RI
Univ of Rhode Island	RI
Univ of Rochester	NY
Univ of RI Grad Sch Ocean	RI
Univ of S C at Columbia	SC
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Univ of Southern Cal Univ of Southern Miss	MS .
Univ of Tenn at Knoxville	ms TN
Univ of Tenn Space Inst	TN
Univ of Tex Inst Urb Stu	TX
Univ of Toledo	OH
Univ of Vt Agr Exp Sta	VT
Univ of Vt Col of Med	VT
Univ of Vt Col of Tech	VT
Univ of Wis Madison	WI
Univ of Wis Milwaukee	WI
Univ Colorado at Boulder	CO
Univ Del-Col Marine Stdys	DE
Univ Okla Hith Scis Ctr	OK
Univ Pacific Medical Ctr	CA
Univ S Fla Marine Sc Inst	FL
Univ Tex Aus McOonald Obs	TX
University of Alabama	AL
University of Arizona	AZ
University of Chicago	IL
University of Connecticut	CT
University of Delaware	OE
University of Denver	CO
University of Detroit	MI

University of Florida University of Georgia University of Idaho University of Miami University of Minnesota University of Mississippi University of Montana University of Nevada Reno University of New Mexico University of Vermont University of Virginia University of Washington University of Wyoming University Maine Utah St Univ Utah St Univ Agr Exp Sta UC SF Radiolog Lab UCLA Ctr Hit Sci Sch Med UMCEES Horn Point Env Lab UMDNJ Grad Sch of Bio-Med UMONJ-NJ Sc Osteopath Med UT Grad Sch Galveston UT Health Science Center UT HS Ctr Grad Sch Bio Sc UT HSC Houston UT HSC San Antonio UT HSC Sch Pub Health UT Med Br Med School Va Commonwealth Univ Va Comonwith U Health Sci Va Poly Inst Agr Exp Sta Vanderbilt U Sch of Med Vanderbilt Univ Virginia Poly Inst & St U W Va Univ Medical Center Wash St U Tree Fruit Res Wash Univ-Sch of Medicine Washington State Univ Washington University Wayne St Univ Sch of Med Wayne State University Wesleyan Univ West Va Univ Agr Exp Sta West Virginia University Widener Col Oel Law Sch William Marsh Rice Univ Woods Hole Ocean Inst Wright Institute Yale Univ Peabody Museum Yale Univ Sch of Medicine Yale Univ Yale Univ Press Yale University Yeshiva U Albert Einstein Yeshiva University

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Appendix C.

FY 1988 Proposals by Division and Gender of Proposer

C-1. RUI Proposal Group

	MALE	MALE	HALE	HALE	FEMALE	FENALE	FENALE	FEMALE
VIO	PROPOSAL	OECLINE	AWARO	RATIO	PROPOSAL	DECLINE	AVARD	RATIO
•••••	•••••	•••••	• • • • • • • • •	• • • • • • •		•••••		
AST	9	3	6	66.7	0	0	0	***
CHE	71	54	13	19.4		8	3	27.3
OMR	21	16	5	23.8	2	1	1	50.0
OMS	56	42	12	22.2	2	0	2	100.0
PHY	22	14	7	33.3	3	1	2	66.7
•••••								•••••
CCR	13	8	5	38.5		1	0	0.0
IRI	6	4	2	33.3		1	1	50.0
MIP	5	5	0	0.0	1	0	1	100.0
HCR	8	1	7	87.5	0	, 0	0	***
						•••••		
ATH	8	5	3	37.5	2	0	2	100.0
OPP	1	0	1	100.0	0	0	0	***
EAR	49	29	17	37.0	2	1	1	50.0
OCE	14	10	4	28.6	1	1	0	0.0
							•	+
BCS	4	3	1	25.0	0	0	0	***
CTS	3	2	1	33.3	0	0	0	***
MGO	7	5	2	28.6	0	0	0	***
ECD	1	0	1	100.0	0	0	0	***
ECS	16	8	6	42.9	0	0	0	***
EET	1	1	0	0.0	0	0	0	**1
HSS	7	6	1	14.3	0	0	0	***
BNS	37	27	10	27.0	9	7	2	22.2
BSR	47	35	10	22.2	4	2	2	50.0
OCB	45	31	13	29.5	16	13	3	18.8
DIR	17	9	7	43.8	1	1	0	0.0
DKB	25	15	9	37.5	8	4	2	33.3
SES	21	12	9	42.9	4	3	1	25.0



C-2. PUI-direct Proposal Group

DIV	MALE DECLINE	Kale Award	MALE PROPOSAL	MALE RATIO	FEMALE DECLINE	FEMALE AWARD	FEMALE PROPOSAL	FEMALE RATIO
•••••	•••••		••••••		••••••	•••••	••••••	•••••
AST	5	5	10	50.00	1	1	2	50.00
CHE	117	10	128	7.87	17	2	19	10.53
DHR	48	6	54	11.11	8	0	8	0.00
CHS	109	41	156	27.33	13	9	23	40.91
PHY	23	18	41	43.90	4	1	5	20.00
•••••	•••••	••••••	••••••		•••••	•••••	••••••	
ASC	5	0	5	0.00	1	0	1	0.00
CCR	28	5	3 5	15.15	3	5	11	62.50
CDA	17	3	25	15.00	1	1	2	50.00
CSE	0	0	1	834	0	0	0	***
IRI	37	5	43	11.90	6	0	6	0.00
MIP	23	3	27	11.54	1	2	3	66.67
NCR	9	2	11	18.18	0	0	0	***
	•••••	••••••			••••••		••••••	
ATH	16	16	35	50. 00	1	3	4	75.00
DPP	27	12	4:	30.77	2	2	4	50.00
EAR	80	16	97	16.67	9	3	13	25.00
OCE	28	12	40	30.00	6	2	9	25.00
******	······				_	••••••	••••••	•••••
BCS	43	15	59	25.86	2	1	3	33.33
CTS	56	11	68	16.42	2	1	3	33.33
DDM	27	6	33	18.18	2	1	3	33.33
ECD	4	2	6	33.33	0	0	0	***
ECS	72	5	82	6.49	3	5	δ	62.50
EID	0	4	4	100.00	0	1	1	100.00
ENG	69 ~~	2	71	2.82	1	0	1	9.00
MSS	95	9	111	8.65	2	0	2	0.00
BBS	84	7	92	7 40	22	••••••	••••••	
BNS	79	20	103	7.69	22	4	28	15.38
BSR	102	19	103	20.20	45	6	52 ~~	11.76
	74	7		15.70	21	2	25	8.70
DIS		•	85 49	8.64	35	6	45	14.63
	35 20	10	48	22.22	2	1	3	33.33
DMB	29 23	5	41	14.71	13	0	16	0.00
SES	73	1	74	1.35	23	3	26	11.54



C-3. RCU Proposal Group

	MALE	MALE	HALE	KALE	FEHALE	FEHALE	FEKALE	FEKALE
DIV	PROPOSAL	DECLINE	AWARD	RATIO	PROPOSAL	.DECLINE	AWARD	RATIO
AST	277	182	93	33.8	31	19	11	36.7
CHE	993	661	287	30.3	96	69.	22	24.2
DMR	837	620	212	25.5	62	43	17	28.3
DMS	1198	595	541	47.6	86	45	35	43.8
PHY	322		156	50.2	17	9	8	47.1
						••-•		
ASC	48	32	15	31.9	2	0	2	100.0
CCR	372	241	112	31.7	35	14	17	54.8
CDA	135	89	37	29.4	13	7	5	41.7
CSE	1	0	0	***	0	0	0	**
IRI	361	265	90	25.4	41	32	9	22.0
MIP	253	179	63	26.0	5	2	3	60.0
NCR	127	97	24	19.8	5	5	0	0.0
	••							
MTA	335		195	60.4			13	68 4
DPP	238		89	37.7			9	28.1
EAR	1097		360	33.7			30	30.3
OCE	788	417	332	44.3	110	60	44	42.3
		7/5	420		30	17	11	39.3
BCS	484		129	27.2			9	28.1
CTS	686		222	33.1			7	33.3
DDH	365		83	23.2			,	0.0
ECD	64		9	14.3			5	19.2
ECS	564		157	29.1			5	23.8
EET	334		93	28.7			7	21.9
ENG	309		55	18.1 16.9			10	23.3
MSS	1046	834	170	10.7		•		
EBS	203	156	16	9.3	40	28	7	20.0
BNS	1142		271	25.6		287	92	24.3
BSR	1105		289	26.4			59	29.5
DCB	1170		177	17.6			68	17.7
DIR	426		122	31.5			25	36.2
DHB	773		164	25.4		164	25	13.2
SES	951		262	27.8			36	24.2
JLJ	,,,	J.,						

Appendix D.

FY 1988 Proposals by Division and Race/Ethnicity of Proposer
D-1. RUI Proposal Group

	3HITE	WHITE	54115	WHITE	BLACK	BLACK	BLACK		HISPANIC		HISPANIC	MISPANIC
914	PROPOSAL	DECTIRE	AV/20	EAT10	PROPOSAL	DECLINE	AUURD	RATIO	PROPOSAL	DECTIKE	AVAND	RATIO
••••				•••••		•••••	•••••	•••••	•••••	••••••	•••••	••••••
AST	8	3	5	52.5	0	0	0	***	0	G	0	***
CHE	81	29	16	21.3	0	0	0	***	0	0	0	***
CHR	19	13	6	31.6	2	2	9	9.0	1	1	0	9.0
DKS	47	33	13	28.3	0	9	0	***	2	2	0	0.0
PHY	21	12	8	40.0	6	0	0	606	0	C	0	***
••••	•••••	• • • • • • • • • • • • • • • • • • • •	•••••	•••••	•••••	•••••	• • • • • • • • • • • • • • • • • • • •	•••••	••••••	••••••	•••••	
CCR	13	8	5	38.5	0	0	0	***	0	0	0	***
121	6	4	2	33.3	0	0	0	000	0	0	0	***
MIP	5	4	1	29.0	0	0	0	***	0	0	0	***
HCR	7	1	6	85.7	0	0	0	-	0	0	0	***
••••	•••••	•••••				• • • • • • • • • • • • • • • • • • • •	•••••				•••••	•••••
KTA	10	5	5	50.0	0	0	0	***	0	0	0	***
DPP	1	0	1	100.0	.0	0	0	002	0	0	0	P01
EAR	48	27	18	40.0		0	0	***	0	0	0	***
CCE	14	11	3	21.4	0	0	0	***	0	0	0	***
••••	•••••	••••••	•••••	••••••		•••••	•••••		••••••	******	••••••	
BCS	4	3	1	25.0	0	0	0	***	0	0	0	***
CTS	2	2	0	0.0	0	0	0	***	0	0	0	***
DOM	6	3	1	16.7	0	0	0	***		0	0	•••
ECD	0	3		***	0	0	0	***		0	0	***
ECS	8	6	4	50.0	0	0	0	***	1	0	0	***
EET	0	0	0	***	1	1	0	0.0		0	-	902
MSS	4	4	0	0.0			0	***	0	0	0	***
	••••••	•••••									0	***
EXS	44	32	12	27.3	0	0		***			••••••	•••••
ESR.	49	35				_	0	***	0	0	0	***
			12	25.5	0	0	0		0	0	0	***
DCB	55	40	14	25.9	0	0	0	ROD	1	0	1	100.0
DIR	18	10	7	41.2	0	0	0	***	0	0	0	***
DNS	30	51	9	33.3	0	0	0	***	0	0	0	***
SES	22	13	9	40.9	6	0	0	***	0	0	0	***
	WAT 4WED U	17 (WED W	17 AWED 21	1 7 1 0 50	****		*****					
MV	KAT AKER N				KATZA	KAIZA	KAIZA	KAIZA				UNKNOW
DIV	KAT AMER N		at amer il Aharo		ASIAN PROPOSAL		ASIAN AVARD			URKKOUM DECLIKE	unkkouk Ahard	UNKKIOLAK RATIO
•••••	PRCPOSAL	DECLINE	AHARO	RATIO P	ROPOSAL	DECLINE	AVARD	RATIO	PROPOSAL	DECLIKE	AWARD	RATIO
AST	PRCPOSAL 0	O DECFINE	AHARO O	2010 P	PROPOSAL 1	O DECLINE	AVARD	100.0	PROPOSAL 0	DECLIKE	AHARD O	RATIO
AST CXE	PRCPOSAL 0	O O O	AHA20 0 0	200 200 200	PROPOSAL 1	O O DECLINE	AVARD 1 9	100.0 0.0	PRCPOSAL 0 0	O O O	AWARD 0 0	RATIO
AST CHE DICR	PRCPOSAL 0 0	O O O	AHARO 0 0 0	24710 P	PROPOSAL 1 3	DECLINE 0 3 1	AVARD 1 2 0	100.0 0.0 0.0	PROPOSAL 0 0	O O DECTIKE	AWARD O O	### PATIO
AST CRE DISR DISS	PRCPOSAL 0 0 0 0	O O O O	AHARO 0 0 0 0	24710 P	PROPOSAL 1 3 1 9	0 3 1 7	AVARD 1 9 0 1	100.0 0.0 0.0 12.5	PROPOSAL 0 0 0	DECLIKE 0 0 0	AWARD O O O	######################################
AST CHE DICR	PRCPOSAL 0 0	O O O	AHARO 0 0 0	24710 P	PROPOSAL 1 3	DECLINE 0 3 1	AVARD 1 2 0	100.0 0.0 0.0	PROPOSAL 0 0	O O DECTIKE	AWARD O O	### PATIO
AST CHE DIGR DIGS PHY	PRCPOSAL 0 0 0 0	0 0 0	0 0 0 0 0	2ATIO P	1 3 1 9 4	0 3 1 7	1	100.0 0.0 0.0 12.5 25.0	PROPOSAL 0 0 1	0 0 0 1	AHARD O O O O	### 000 000 000 000 000
AST CHE DHR DHS PHY	PRCPOSAL 0 0 0 0 0 0	0 0 0 0 0	0 0 0 0 0	2ATIO P	1 3 1 9 4	0 3 1 7 3	1 9 0 1 1 0 0	100.0 0.0 0.0 12.5 25.0	PRCPOSAL 0 0 0 1	0 0 0 1 0	AHARD O O O O O	0.0
AST CHE DICK DICK DICS PHY CCR IRI	PRCPOSAL 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0	0 0 0 0 0	######################################	1 3 1 9 4	0 3 1 7 3	1 2 0 1 1 0 1 1 1 1 1 1 1 1 1 1 1 1 1 1	100.0 0.0 0.0 12.5 25.0 0.0 50.0	PROPOSAL D D D T D O O O O	0 0 0 1 0	AMARD O O O O O O O O	0.0
AST CHE DICK DICK DICK CHS PHY CCR IRI HIP	PRCPOSAL 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0	0 0 0 0 0 0	200 000 000 000 000 000	1 3 1 9 4 4 1 2 1	0 3 1 7 3	1 29 0 1 1 1	100.0 0.0 0.0 12.5 25.0 0.0 50.0	PROPOSAL O O O O O O O O O O O O O O O O O O	0 0 0 1 0	0 0 0 0 0 0	RATIO
AST CHE DHR DHS PHY CCR IRI HIP	0 0 0 0 0 0	0 0 0 0 0	0 0 0 0 0	######################################	1 1 3 1 9 4 4 1 1 2 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	0 3 1 7 3	1 2 0 1 1 0 1 1 1 1 1 1 1 1 1 1 1 1 1 1	100.0 0.0 0.0 12.5 25.0 0.0 50.0	PROPOSAL D D D T D O O O O	0 0 0 1 0	AMARD O O O O O O O O	0.0
AST CHE DHR DHS PHY CCR IRI HIP HCR	0 0 0 0 0 0	0 0 0 0 0 0	0 0 0 0 0 0	200 200 200 200 200 200 200 200 200 200	1 3 1 9 4	0 3 1 7 3	1	100.0 0.0 0.0 12.5 25.0 0.0 50.0 0.0	PROPOSAL O O O O O O O O O O O O O O O O O O	0 0 0 1 0	0 0 0 0 0 0	### PATIO
AST CHE DMR DMS PMY CCR IRI MIP MCR	0 0 0 0 0 0	0 0 0 0 0 0	0 0 0 0 0 0 0	200 200 200 200 200 200 200 200 200 200	1 3 1 9 4	0 3 1 7 3	1	0.0 0.0 0.0 12.5 25.0 0.0 50.0 0.0	0 0 0 1 0 0	0 0 0 1 0	0 0 0 0 0 0 0	######################################
AST CHE DHR DHS PHY CCR IRI MIP MCR	0 0 0 0 0 0 0	0 0 0 0 0 0	0 0 0 0 0 0 0 0	24110 F	1 3 1 9 4 1 2 1 1 1 0 0 0	0 3 1 7 3 1 1 1 0	1	100.0 0.0 0.0 12.5 25.0 0.0 50.0 0.0	0 0 0 1 0 0 0	0 0 0 1 0 0	0 0 0 0 0 0	### PATIO
AST CHE DICK DICK DICS PHY CCR IRI HIP HCR ATH DPP EAR	0 0 0 0 0 0 0	0 0 0 0 0 0 0	0 0 0 0 0 0 0	200 200 200 200 200 200 200 200 200 200	1 3 1 9 4 1 2 1 1 1 0 0 3 3	0 3 1 7 3 1 1 1 0 0 0 3 3	1	100.0 0.0 0.0 12.5 25.0 0.0 50.0 0.0 100.0	0 0 0 1 0 0 0 0	0 0 0 1 0 0 0	0 0 0 0 0 0 0	######################################
AST CHE DHR DHR PHY CCR IRI HIP HCR ATH DPP EAR OCE	0 0 0 0 0 0 0	0 0 0 0 0 0	0 0 0 0 0 0 0 0	24110 F	1 3 1 9 4 1 2 1 1 1 0 0 0	0 3 1 7 3 1 1 1 0	1	100.0 0.0 0.0 12.5 25.0 0.0 50.0 0.0	0 0 0 1 0 0 0	0 0 0 1 0 0	0 0 0 0 0 0 0	######################################
AST CHE DHR DHS PHY CCR IRI HIP HCR ATH DPP EAR OCE	0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0	24110 F	1 3 1 9 4 1 2 1 1 1 0 0 3 3 0	0 3 1 7 3 1 1 1 0 0	1	0.0 0.0 12.5 25.0 0.0 50.0 100	0 0 0 1 0 0 0 0 0	0 0 0 1 0 0 0	0 0 0 0 0 0 0 0	######################################
AST CHE DICK DICK DICK PHY CCR IRI HIP HCR ATH DPP EAR OCE	0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0	24110 F	1 3 1 9 4 1 2 1 1 1 0 0 3 0 0 0	0 3 1 7 3 1 1 1 0 0	1	100.0 0.0 0.0 12.5 25.0 0.0 50.0 0.0 100.0	0 0 0 1 0 0 0 0	0 0 0 1 0 0 0	0 0 0 0 0 0 0 0	######################################
AST CHE DICK DICK DICK PHY CCR IRI HIP HCR ATH DPP EAR OCE CTS	0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0	24110 F	1 3 1 9 4 1 2 1 1 1 0 0 3 0 0 1 1	0 3 1 7 3 1 1 1 0 0 0 3 0 0 0 0 0 0	1	0.0 0.0 12.5 25.0 0.0 50.0 100	0 0 0 1 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0	### PATIO
AST CHE DICK DICK DICK PHY CCR IRI HIP HCR ATH DPP EAR OCE CTS DOM	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0	24110 F	1 3 1 9 4 1 2 1 1 1 0 0 3 0 0 1 0 0 1 0 0	0 3 1 7 3 1 1 1 0 0	1	0.0 0.0 12.5 25.0 0.0 50.0 100	0 0 0 1 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0	### PATIO
AST CHE DICK DICK DICK PHY CCR IRI HIP HCR ATH DPP EAR OCE CTS DON EED	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0	24110 F	1 3 1 9 4 1 2 1 1 1 0 0 3 0 0 1 1	0 3 1 7 3 1 1 1 0 0 0 3 0 0 0 0 0 0	1	0.0 0.0 12.5 25.0 0.0 50.0 100	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0	### PATIO
AST CHE DICK DICK DICK PHY CCR IRI HIP HCR ATH DPP EAR OCE CTS DOM	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0	24110 F	1 3 1 9 4 2 1 1 1 0 0 3 0 0 1 0 0 7	0 3 1 7 3 1 1 1 0 0 0 3 0 0 0 0 0 0 0 0 0 0	1	0.0 0.0 12.5 25.0 0.0 50.0 100	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0	### PATIO
AST CHE DICK DICK DICK PHY CCR IRI HIP HCR ATH DPP EAR OCE CTS DON EED	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0	24110 F	1 3 1 9 4 1 2 1 1 1 0 0 3 0 0 1 0 0 0 0 0 0 0 0 0 0 0	0 3 1 7 3 1 1 1 0 0 0 3 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0.0 0.0 12.5 25.0 0.0 50.0 100	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0	### PATIO
AST CHE DICK DICK DICK PHY CCR IRI HIP HCR ATH DPP EAR OCE CTS DOM ECD ECS	PRCPOSAL	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0	24110 F	1 3 1 9 4 2 1 1 1 0 0 3 0 0 1 0 0 7	0 3 1 7 3 1 1 1 0 0 0 3 0 0 0 0 0 0 4	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0.0 0.0 12.5 25.0 0.0 50.0 100	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	O O O O O O O O O O O O O O O O O O O	### PATIO
AST CHE DICK DICK DICK PHY CCR IRI HIP HCR ATH DPP EAR OCE CTS DOM ECD ECS EET	PRCPOSAL	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0 0	24110 F	1 3 1 9 4 2 1 1 1 0 0 3 0 0 1 0 0 7 0 0	0 3 1 7 3 1 1 1 0 0 0 3 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0.0 0.0 12.5 25.0 0.0 50.0 100	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	O O O O O O O O O O O O O O O O O O O	### PATIO
AST CHE DICK DICK DICK PHY CCR IRI HIP HCR ATH DPP EAR OCE CTS DOM ECD ECS EET HSS	PRCPOSAL	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0 0	24110 F	1 3 1 9 4 2 1 1 1 0 0 3 0 0 1 0 0 7 0 0	0 3 1 7 3 1 1 1 0 0 0 3 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0.0 0.0 12.5 25.0 0.0 50.0 100	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	O O O O O O O O O O O O O O O O O O O	### PATIO
AST CHE DICK DICK PHY CCR IRI HIP HCR ATH DPP EAR OCE CTS DOM ECD ECS EET HSS	PRCPOSAL	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	24110 F	1 3 1 9 4 1 2 1 1 1 0 0 3 0 1 0 0 7 0 3 3 1 0 0 3 3 1 0 0 1 1 0 0 0 7 0 3 3 1 0 0 0 1 1 0 0 0 7 0 0 3 3 1 0 0 0 0 1 0 0 0 0 0 0 0 0 0 0	DECLINE 0 3 1 7 3 1 1 1 0 0 0 0 0 0 0 4 0 2	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0.0 0.0 12.5 25.0 0.0 50.0 100	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	O O O O O O O O O O O O O O O O O O O	### PATIO
AST CHE DICK DICK PHY CCR IRI HIP HCR ATH DPP EAR OCE CTS DOM ECD ECS EET HSS BVS	PRCPOSAL	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	O O O O O O O O O O O O O O O O O O O	24110 F	1 3 1 9 4 1 2 1 1 1 0 0 3 3 0 0 1 0 0 3 3 1 0 0 3 3 1 1 1 1	DECLINE 0 3 1 7 3 1 1 1 0 0 0 3 0 0 0 4 0 2	0 0 1 0 0 0 0 1 0 0 0 0 0 1 0 0 0 0 0 0	0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	O O O O O O O O O O O O O O O O O O O	O O O O O O O O O O O O O O O O O O O	### PATIO
AST CKE DICK DICK PRY CCR IRI HIP HCR ATH DPP EAR OCE CTS DOM ECD ECS EET HSS BYS BSR DCB	PRCPOSAL	O O O O O O O O O O O O O O O O O O O	O O O O O O O O O O O O O O O O O O O	24110 F	1 3 1 9 4 1 2 1 1 1 0 0 0 7 0 3 1 2 3 3 1 2 3 3	DECLINE 0 3 1 7 3 1 1 1 0 0 0 0 0 4 0 2 1 2 3	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	O O O O O O O O O O O O O O O O O O O	O O O O O O O O O O O O O O O O O O O	### PATIO
AST CHE DICK DICK PHY CCR IRI HIP HCR ATH DPP EAR OCE CTS DOM ECD ECS EET HSS BYS BSR	PRCPOSAL	DECLINE 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	O O O O O O O O O O O O O O O O O O O	24110 F	1 3 1 9 4 1 2 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	DECLINE 0 3 1 7 3 1 1 1 0 0 3 0 0 0 4 0 2 1 2 3 0	O 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0.0 0.0 100.	PROPOSAL 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	O O O O O O O O O O O O O O O O O O O	O O O O O O O O O O O O O O O O O O O	### PATIO
AST CKE DICK DICK PRY CCR IRI HIP HCR ATH DPP EAR OCE CTS DOM ECD ECS EET HSS BSR DCB DIR	PRCPOSAL	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	O O O O O O O O O O O O O O O O O O O	24110 F	1 3 1 9 4 1 2 1 1 1 0 0 0 7 0 3 1 2 3 3 1 2 3 3	DECLINE 0 3 1 7 3 1 1 1 0 0 0 0 0 4 0 2 1 2 3	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0	PROPOSAL 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	O O O O O O O O O O O O O O O O O O O	O O O O O O O O O O O O O O O O O O O	### PATIO

ERIC Full Text Provided by ERIC

D-2. PUI-direct Pronosal Group

DIV	WHITE PROPOSAL	WHITE DECLINE	WHITE AWARD	WHITE RATIO	BLACK PROPOSAL	BLACK DECLINE	BLACK AWARD		HISPANIC PROPOSAL	HISPANIC DECLINE	HISPANIC AWARD	HISPANIC RATIO
AST	 12	6	6	50.00	 0	0	· 0	****	 0	 0	 0	***
CHE	131	120	10	7.69	1	0	. 1	100.00	2	1	1	50.00
DMR	42	36	6	14.29	3	3	0	0.00	4	4		0.00
DHS	148	101	43	29.86	4	3	1	25.00	4	2	2	50.00
PHY	39	23	16	41.03	2	0	2	100.00	1	1	0	0.00
ASC	4	4	0	0.00	 0	0	0	***		·2	· O	0.00
CCR	36	23	9	28.13	1	0	0	***	0	0	0	***
CDA	15	9	1	10.00	4	2	2	50.00	3	1	1	50.00
CSE	1	0	0	***	0	0	0	***	0	0	0	***
IRI	36	32	4	11.11	0	0	0	***	0	0	0	***
HIP	14	10	3	23.08	0	0	0	***	0	0	0	***
NCR	6	5	1	16.67	0	0	0	***	0	0	0	***
ATH	30	13	14	51.85	0	0.	0	***	0	0	0	***
DPP	75	26	14	35.00	0	0	0	***	0	0	0	***
EAP	101	81	18	18.18	0	0	9	***	1	, 1	0	0.00
OCE	43	30	12	28.57	9	0	0	***	1	0	1	100.00
BCS	38	28	10	26.32	0	0	0	***	7	5	1	16.67
CTS	44	38	5	11.63	1	1	0	0.00	3	3	0	0.00
DDM	17	12	5	29.41	1	1	0	0.00	1	1	0	0.00
ECD	6	4	2	33.33	0	0	0	杂音素	0	0	0	***
ECS	52	40	8	16.67	1	1	0	0.00	5	1	1	50.00
EID	4	0	4	100.00	0	0	C	***	ů	0	0	***
ENG	57	56	1	1.75	2	2	O	0.00	1	1	0	0.00
MSS	69	61	5	7.58	6	6	0	0.00	4	3	1	25.00
BBS	108	94	11	10.48	ડ	6	0	0.00	0	0	0	***
BNS	144	116	23	16.55	3	1	2	66.67	2	1	1	50.00
BSR	140	117	19	13.97	1	0	1	100.00	0	0	0	***
DCB	113	97	10	9.35	3	2	1	33.33	1	1	0	0.00
DIR	49	36	11	23.40	0	0	0	***	2	2	0	0.00
DMB	45	31	5	13.89	2	1	0	0.00	0	0	0	食食食
SES	90	86	4	4.44	4	4	0	0.00	1	1	0	0.00

PUI-direct data continued on following page



D-2. PUI-direct Proposal Group, Cont.

DIV	NAT AMER PROPOSAL	NAT AMER DECLINE	NAT AMER AWARD		ASIAN PROPOSAL	ASIAN DECLINE	ASIAH AWARD	ASIAN RATIO		DECT THE	UHKNOUN AWARD	UNKNOWN RATIO
AST	0	0	0	***	 0	0	 0	***	·· 0	0	0	***
CHE	0	0	0	***	11	11	0	0.00	2	2	0	0.00
DKR	0	0	0	***	13	13	0	0.60	0	0	0	***
DHS	1	1	0	0.00	20	13	4	23.53	3	3	0	0.00
PHY	0	0	0	***	3	2	1	33. 33	1	1	0	0.00
ASC	0	0	0	***	 1	1	0	0.00	0	0	· 0	***
CCR	0	0	0	**	9	8	1	11.11	1	1	0	0.00
CDA	0	0	0	***	3	3	0	5.00	6	5	1	16.67
CSE	0	C	0	***	0	0	0	***	0	0	0	***
IRI	0	0	0	***	13	11	1	8.33	0	0	0	***
HIP	1	1	0	0.00	15	13	2	13.33	0	0	0	***
NCR	0	0	0	***	5	4	1	20.00	0	0	0	救救
ATH	0	0	0	***	8	3	5	62.50	1	 1	 0	0.00
DPP	0	0	0	***	3	3	0	0.00	0	0	0	***
EAR	1	1	0	0.00	7	6	1	14.29	٥	0	0	***
OCE	0	0	0	***	5	4	1	20.00	0	0	0	拉蒙蒙
BCS	0	0	0	***	16	11	5	31.25	2	- <i></i> 2	0	0.00
CTS	0	0	0	***	23	16	7	30.43	0	0	0	***
DDM	0	0	0	***	17	15	2	11.76	0	0	0	***
ECD	0	0	0	***	0	0	0	***	0	0	0	***
ECS	0	0	0	***	34	32	1	3.03	1	1	0	0.00
EID	0	0	0	***	1	0	1	100.00	0	0	0	***
ENG	0	0	0	***	11	11	0	0.00	1	0	1	100.00
HSS	0	0	0	***	34	27	3	10.00	0	0	0	***
885	0	0	0	***	4	4	0	0.00	2	2	0	0.00
BNS	0	0	0	***	5	5	0	0.00	1	1	0	0.00
BSR	0	0	0	***	2	2	0	0.00	5	4	1	20.00
DCB	1	0	1	100.00	8	6	1	14.29	4	3	0	0.00
DIR	0	0	0	***	2	1	0	0.00	0	0	0	***
DMB	0	0	0	***	8	8	0	0.00	2	2	0	0.00
SES	0	0	0	***	6	6	0	0.00	1	1	0	0.00



D-3. RCU Proposal Group

	WHITE	WH!TE	WHITE	WHITE	BLACK	BLACK	BLACK	BLACK	HISPANIC	HISPANIC	HISPANIC	HISPANIC
DIV	PROPOSAL	DECLINE	AWARD	RATIO	PROPOSAL	DECLINE	AWARD	RATIO	PROPOSAL	DECLINE	AWARD	RATIO
AST	287	190	94	33.1	2	1	1	50.0	3	2	1	33.3
CHE	1005	677	2 83	29.5	10	6	2	25.0	11	9	2	18.2
DMR	733	5 2 9	201	27.5	3	3	0	0.0	19	16	2	11.1
DMS	1099	520	517	49.9	10	5	4	44.4	21	11	9	45.0
PHY	296	139	148	51.6	2	0	2	100.0	4	2	2	50.0
ASC	42	2 6	15	36.6	0	0	0	***	2	1	 1	50.0
CCR	294	175	100	36.4	1	1	0	0.0	1	1	0	0.0
CDA	121	81	32	28.3	2	0	1	100.0	0	0	0	***
CSE	1	0	0	***	0	0	0	***	0	0	0	***
IRI	321	235	81	25. 6	6	6	0	0.0	4	4	0	0.0
MIP	168	118	44	27.2	0	0	0	教育家	3	3	0	0.0
NCR	92	69	17	19.8	0	0	0	***	4	3	1	25.0
ATM	301	103	187	64.5	3	1	1	50.0	6	2	4	66.7
DPP	253	156	95	37.8	1	0	1	100.0	1	1	0	0.0
EAR	1118	727	366	33.5	6	3	1	25.0	11	6	4	40.0
OCE	851	449	360	44.5	0	0	0	安全会	6	3	3	50.0
BCS	385	266	111	29.4	3	3	0	0.0	14	10	3	23.1
CTS	560	355	191	35.0	2	1	1	50.0	12	10	1	9.1
DDM	262	197	60	23.3	12	12	0	0.0	7	4	3	42.9
ECD	55	49	6	10.9	0	0	0	***	0	0	0	***
ECS	426	302	108	26.3	5	4	1	20.0	6	3	1	25.0
EET	281	187	83	30.7	2	1	1	50.0	5	4	1	20.0
ENG	281	222	54	19.6	5	5	0	0.0	5	4	1	20.0
MSS	795	623	141	18.5	8	4	3	42.9	17	15	2	11.8
BBS	224	173	21	10.8	4	2	2	50.0	2	2	0	0.0
BNS	1459	1014	344	25.3	9	6	3	33.3	20	14	5	26.3
BSR	1253	899	341	27.5	1	1	0	0.0	14	14	0	0.0
DCB	1414	1006	224	18.2	11	6	5	45.5	14	13	1	7.1
DIR	460	285	137	32.5	2	1	1	50.0	1	1	0	0.0
DMB	886	577	163	22.0	6	4	2	33.3	6	1	4	80.0
SES	994	700	281	28 .6	14	13	1	7.1	9	7	2	22.2

RCU data continued on following page



D-3. RCU Proposal Group, Cont.

	NAT AMER	NAT AMER	NAT AMER	NAT AMER	ASIAN	ASIAN	ASIAN	ASIAN	UNKNOWN	UNKNOWN	UNKNOWN	UNKNOWN
DIV	PROPOSAL	DECLINE	AWARD	RATIO	PROPOSAL	DECLINE	AWARD	RATIO	PROPOSAL	DECLINE	AWARD	RATIO
AST	0	0	0	***	13	7	6	 46.2	 5	2	3	60.0
CHE	1	0	1	100.0	56	34	19	35.8		4	2	33.3
DMR	0	0	0	***	139	112	24	17.6	10	5	5	50.0
DMS	3	1	2	66.7	137	98	36	26.9	18	5	12	70.6
PHY	1	1	0	0.0	31	20	9	71.0	7	2	5	71.4
ASC	0	0		***	5	4	 1	20.0	 2	1	1	50.0
CCR	4	4	0	0.0	79	61	17	21.8	33	18	12	40.0
CDA	0	0	0	***	16	10	6	37.5	10	6	3	33.3
CSE	0	0	0	***	0	0	0	***	0	0	0	***
IRI	0	0	0	***	59	44	14	24.1	15	11	4	2 6.7
MIP	0	0	0	***	86	60	21	25.9	1	0	1	100.0
NCR	0	0	0	***	32	28	4	12.5	4	2	2	50.0
ATM	0	0	0	***	44	28	16	36.4	1	1	0	0.0
DPP	0	0	0	***	13	11	2	15.4	2	2	0	0.0
EAR	2	1	1	50.0	57	39	17	30.4	2	1	1	50.0
OCE .	0	0	0	***	41	25	13	34.2	0	0	0	***
BCS	0	0	0	***	106	78	25	24.3	7	6	 1	14.3
CTS	1	1	0	0.0	141	101	38	27.3	5	4	1	20. û
DDM	0	0	0	***	104	76	26	25.5	1	0	1	100.0
ECD	0	0	0	***	10	6	3	33.3	1	1	0	0.0
ECS	0	0	0	***	149	88	51	36.7	12	8	3	27.3
EET	0	0	0	***	67	54	13	19.4	2	2	0	0.0
ENG	1	1	0	0.0	43	38	5	11.6	7	5	2	28. 6
MSS	1	1	0	0.0	261	217	34	13.5	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	7	0	0.0
BBS	0	0	0	会 会儿	12	······	0	0.0,	1	0		***
BNS	4	1	2	66.7	41	32	5	13.5	22	12	5	29.4
BSR	1	1	0	0.0	25	19	5	20.8	17	14	3	17.6
DCB	3	3	0	0.0	171	93	10	9.7	43	28	5	15.2
DIR	0	0	0	***	19	12	4	25.0	21	12	5	29.4
DMB	9	6	0	0.0	79	53	13	19.7	19	5	10	66.7
SES	0	0	0	***	54	50	4	7.4	41	27	14	34.1

Appendix E.

FY 1988 Award Shares by Gender and Race/Ethnicity of Proposers

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Totals may not equal 100% due to rounding.

RUI = proposals submitted from PUIs under RUI initiative

nRUI = proposals submitted from PUI institutions to standard MSF programs (PUI-direct).

RCU = proposals submitted from research competitive universities



Appendix F.

FY 1988 Declination Shares by Gender and Race/Ethnicity of Proposers

ELEMENT		BBS			CISE				ENG		11		6EO		11		MPS		11		TOTAL	
	RUI	: nRUI	II RCU	II RU	InRUI	: RCU	- 11	RUI	inRUI	:RCU	11	RUI	InRUI	:RCU	11 8	HI	!nRIII	!RCII	!!	RHIT	incili	10
6ENDER				::==:		=====	===:	2222	======	22222	===: 	:::::	:::::::		:==:: !!	===	22222	=====	:==: !!	====	25255	:==:
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HSTE	; 81	1 74	178	11 90	 : 89	1 93	11	100	: 96	195	11	96	1 89	190	11	93	1 87	:92	!!	89	! R4	!87
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Hispanic	i 0	1 1	1 1	11 0	1 2	1	11	0	1 4	1 2	11	0	1 1	! 1	!!	2	: 2	! 2		1	! 2	! !
H5./r. 15.	i 4	i 5	15	ii 10		21	-	24	29	122	11	7	1 10	1.6	!!	10	! 11	! 11	11	Ω	14	112
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UNKNOWN	i Z	i 2	i 2	ii 5	: 5	4	11	0	1	1	11	0	1 1	0.3	!!	1	2	! 1	!!	2 !	2	! 2

Totals may not equal 100% due to rounding.

RUI = proposals submitted from PUIs under RUI initiative

nRUI = proposals submitted from PUI institutions to standard NSF programs (PUI-direct).

RCU = proposals submitted from research competitive universities



Appendix G.

FY 1988 Award Shares by Number of Proposals Submitted in FYs 1983-1987

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Totals may not equal 190% due to rounding.

RUI = proposals submitted from PUIs under RUI initiative

nRUI = proposals submitted from PUI institutions to standard MSF programs (PUI-direct).

RCU = proposals submitted from research competitive universities



Appendix H.

FY 1988 Declination Shares by Number of Proposals in FYs 1983-1987

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iii	* Proposals	i KUI	inRUI	i ecu	ii RUI	inRUI:	RCU !	: RII!	!nRiiI	1971	!! PI	T Sabiit	10011		DIIT	I-DUIT	10011			
111	0	1 34	i 31	i 31	11 22	i 53 i	38 :	: 28	1 49	: 30		1 33	16	11	45	: 43	! 30	!! 3	1 47	139 1111
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111	2-5	i 34	i 27	i 34	11 20	1 17 1	33 ;	4 4	: 24	: 39	:: 3	7 32	: 44	::	32	: 31	: A7	!! 3/	. 1 27	-i -i
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Totals may not equal 100% due to rounding.

RUI = proposals submitted from PUIs under RUI initiative

nRUI = proposals submitted from PUI institutions to standard NSF programs (PUI-direct).

RCU = proposals submitted from research competitive universities



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